

State of Illinois  
State Internal Audit Advisory Board

# SIAAB 2019 Fall Government Auditing Conference

**October 22-23, 2019**

(Optional Seminar on October 24, 2019)

**Northfield Inn, Suites & Conference Center, Springfield, IL**

*Co-Sponsored by the Springfield Chapter of the Institute of Internal Auditors*



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## State of Illinois State Internal Audit Advisory Board

2019 Fall Government Auditing Conference  
October 22-23, 2019

The State Internal Audit Advisory Board (SIAAB) is proud to once again co-sponsor this year's Fall Government Auditing Conference with the Springfield Chapter of the Institute of Internal Auditors.

Part of SIAAB's mission is to serve as a clearinghouse for the correlation of internal audit training needs among the State's internal audit agencies. This year's conference offers a wide variety of topics, including opportunities to network with your peers to exchange ideas and information. We hope that the range of topics offered at this conference will be beneficial to all participants and their agencies.

Please take this opportunity to join us in Springfield for our annual Fall Government Auditing Conference. For only \$99 for IIA members (\$125.00 for nonmembers), you can earn up to 14.0 hours of CPE; plus an additional half-day Springfield IIA Chapter session is available for \$40.00 IIA member fee (\$55.00 for nonmember) for an additional 3.0 hours of CPE.

We would also like to invite you to learn more about SIAAB by visiting our Web site at: [siaab.audits.illinois.edu](http://siaab.audits.illinois.edu).

Julie A. Zemaitis, CPA  
SIAAB Chair  
Executive Director of University Audits  
University of Illinois System



## Things to do in Springfield

Venture out and explore the Land of Lincoln!

Springfield is bursting with things to see and do. Whether you are looking for something upbeat or low key, Springfield has it all. The opportunities are endless!

Springfield is also known for its unique dining and locally owned restaurants. From the city's signature horseshoe sandwich to Caribbean cuisine, Springfield's restaurants offer an eclectic selection to pamper any palate.

Additional information about things to do and the many attractions in and around Springfield can be found at the Visit Springfield Illinois website ([www.visitspringfieldillinois.com](http://www.visitspringfieldillinois.com)).

## Hotel accommodations

A special discounted rate of **\$85.00** (plus tax) is available at the **Northfield Inn, Suites & Conference Center** in Springfield, IL for the night before each conference date.

The **Northfield Inn** is located at **3280 Northfield Drive, Springfield, IL 62702**, and provides *free parking*. The **Northfield Inn** also provides *complementary shuttle service* to/from Amtrak, airport, and bus stations upon request.

Reservations are to be made directly with the Northfield Inn by calling **217-523-7900**. The block of rooms for this conference is reserved under the name of **Institute of Internal Auditors**. *This block of rooms will be released on October 7, 2019*. After the cutoff date, there is no guarantee rooms will be available at State rates.

Additional information about the **Northfield Inn** can be found at The Northfield Inn's website ([www.northfieldinn.com](http://www.northfieldinn.com)).

# Conference at a glance

## DATE

Tuesday, October 22, 2019, and Wednesday, October 23, 2019

## FIELD OF STUDY

Governmental Accounting and Auditing

## COURSE OBJECTIVES

Increase professional accounting and auditing skills pertaining to:

- ▶ Agile Auditing in the Government Environment
- ▶ Information Technology Concerns in State Government
- ▶ Insights into Occupational Fraud
- ▶ Internal Controls in the Public Sector
- ▶ Project Management, Program Management, and Governance
- ▶ Public Integrity
- ▶ The Grant Accountability and Transparency Act (GATA)

## COURSE LEVEL

- ▶ External / Internal Audit Staff, Supervisors, and Managers
  - ▶ Chief Internal Auditors / Chief Audit Executives
- (No advanced preparation required.)

## LOCATION

Northfield Inn, Suites & Conference Center

## MAXIMUM CPE OFFERED

- ▶ **14 hours** for attending the 2019 SIAAB Fall Government Auditing Conference
- ▶ **3 Hours** optional CPE provided by the Springfield IIA Chapter on Thursday, October 24, 2019, from 1:00 p.m. to 4:00 p.m.

## COURSE FEES

- ▶ **\$99** (Member/Student) and **\$125** (Nonmember) for the 2019 SIAAB Fall Government Auditing Conference
- ▶ **\$40** (Member), **\$55** (Nonmember), and **\$20** (Student) for the optional Springfield IIA Chapter half-day session on October 24, 2019

## CPE SPONSOR LICENSE REGISTRATIONS

- ▶ State Internal Audit Advisory Board (SIAAB) – IDFPR # 158001129
- ▶ Springfield Chapter Institute of Internal Auditors (IIA) – IDFPR # 158001595

# Tuesday, October 22, 2019 Agenda

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- 07:45 a.m. – 08:30 a.m. **REGISTRATION / BREAKFAST / SIGN-IN**
- 08:30 a.m. – 08:40 a.m. **OPENING REMARKS**  
Julie Zemaitis, CPA  
SIAAB Chair  
Executive Director of University Audits, University of Illinois System
- 08:40 a.m. – 09:40 a.m. **Opening Keynote**  
Raven Catlin, CIA, CPA, CFSA, CRMA  
Chief Executive Officer, Raven Global Training, LLC  
[www.ravenglobaltraining.com](http://www.ravenglobaltraining.com)
- 09:40 a.m. – 10:00 a.m. **BREAK**
- 10:00 a.m. – 12:00 noon **Agile Auditing in Government**  
Raven Catlin, CIA, CPA, CFSA, CRMA  
Chief Executive Officer, Raven Global Training, LLC  
[www.ravenglobaltraining.com](http://www.ravenglobaltraining.com)
- 12:00 noon – 01:00 p.m. **LUNCH**
- 01:00 p.m. – 02:00 p.m. **Public Integrity**  
Lisa Hennelly and Jonas Harger  
Assistant Attorneys General, Public Integrity Bureau  
Office of the Illinois Attorney General  
[www.illinoisattorneygeneral.gov](http://www.illinoisattorneygeneral.gov)
- 02:00 p.m. – 02:10 p.m. **BREAK**
- 02:10 p.m. – 03:10 p.m. **Grants Accountability and Transparency Act (GATA) Update**  
Carol Kraus, CPA, CGMS  
Director of Grant Accountability & Transparency  
Governor's Office of Management and Budget  
[www2.illinois.gov/sites/GATA](http://www2.illinois.gov/sites/GATA)
- 03:10 p.m. – 03:20 p.m. **BREAK**
- 03:20 p.m. – 04:20 p.m. **Insights into Occupational Fraud**  
Carol Jessup, Ph.D., CPA, CFE  
Chair of Accountancy, University of Illinois at Springfield
- 04:20 p.m. **WRAP-UP AND ADJOURNMENT**



# Wednesday, October 23, 2019 Agenda

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- 07:45 a.m. – 08:30 a.m. **REGISTRATION / BREAKFAST / SIGN-IN**
- 08:30 a.m. – 08:35 a.m. **OPENING REMARKS**  
Jay Wagner, CIA, CFE, CISA  
SIAAB Vice Chair and Conference Coordinator  
Chief Internal Auditor, Office of the Attorney General
- 08:35 a.m. – 09:40 a.m. **SOC Reports: Demystifying Service Organization Responsibilities**  
Chris Mower, Brandon Reed, and Lisa Stinson  
Public Sector Leaders, Crowe, LLP  
[www.crowe.com](http://www.crowe.com)
- 09:40 a.m. – 09:50 a.m. **BREAK**
- 09:50 a.m. – 10:50 a.m. **Project Management, Program Management, and Governance: A Foundational Control for Project Audits**  
Amy Delcomyn, Executive Director, Enterprise PMO  
Department of Innovation and Technology (DoIT)  
[www2.illinois.gov/sites/DoIT](http://www2.illinois.gov/sites/DoIT)
- 10:50 a.m. – 11:00 a.m. **BREAK**
- 11:00 a.m. – 12:00 noon **A Hacker's Perspective**  
Jeff Thompson, CEH  
Department of Innovation and Technology (DoIT)  
[security.illinois.gov](http://security.illinois.gov)
- 12:00 noon – 01:00 p.m. **LUNCH**
- 01:00 p.m. – 02:40 p.m. **Principles of Internal Control in the Public Sector**  
Mary E. Peck, CIA, CCSA, CGAP, CRMA  
Director of Internal Audit, New York State  
Energy Research and Development Authority  
District Advisor, Institute of Internal Auditors Northeast Region
- 02:40 p.m. – 03:00 p.m. **BREAK**
- 03:00 p.m. – 04:20 p.m. **Closing Keynote**  
Benito Ybarra, CIA, CISA, CFE, CCEP  
Chair, Institute of Internal Auditors North American Board  
Chief Audit and Compliance Officer, Texas Department of Transportation
- 04:20 p.m. **CLOSING COMMENTS, WRAP-UP, AND ADJOURNMENT**

# Thursday, October 24, 2019 Agenda

(Optional IIA Training)

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01:00 p.m. – 02:15 p.m.

**Wannacy: A Complete Compromise Walkthrough**  
Spencer Strausbaugh and Elson Mercado  
Protiviti  
[www.protiviti.com](http://www.protiviti.com)

02:15 p.m. – 02:30 p.m.

**BREAK**

02:30 a.m. – 04:00 p.m.

**Wannacy: A Complete Compromise Walkthrough  
(Continued)**  
Spencer Strausbaugh and Elson Mercado  
Protiviti  
[www.protiviti.com](http://www.protiviti.com)

# Conference Registration

## 2019 SIAAB Fall Government Auditing Conference

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Tuesday, October 22, 2019, and Wednesday, October 23, 2019  
Northfield Inn, Suite & Conference Center

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### REGISTRATION FEES

**\$99** (Member/Student) and **\$125** (Nonmember) for the 2019 SIAAB Fall Government Auditing Conference, October 22, 2019, and October 23, 2019. (Fee includes Continental Breakfast, Lunch, & Refreshments on October 22 and 23.)

**\$40** (Member), **\$55** (Nonmember), and **\$20** (Student) for the optional Springfield IIA Chapter half-day session on October 24, 2019 at 1:00 p.m. (Fee includes Box Lunch served at 12:00 noon & Refreshments.)

### CONFERENCE REGISTRATION

Payments are accepted online by credit card, by mail, or by paying at the door on the day of the training. **Invoicing is available to State of Illinois agencies.**

Please register on-line by **noon** on October 18, 2019 at:

SIAAB Fall Conference:

[www.eiseverywhere.com/2019-SIAAB-Conference](http://www.eiseverywhere.com/2019-SIAAB-Conference)

Optional Springfield IIA Chapter Seminar, October 24, 2019:

[www.eiseverywhere.com/sept2019sem](http://www.eiseverywhere.com/sept2019sem)

### CONFERENCE REGISTRATION (continued)

#### Make Checks Payable to

THE INSTITUTE OF INTERNAL AUDITORS – SPRINGFIELD  
(FEIN 37-1088763)

#### Please Mail Checks to

The Institute of Internal Auditors – Springfield Chapter  
P.O. Box 205, Springfield, IL 62705

### CANCELLATION POLICY

Written notice of cancellations received on or before **October 18, 2019**, will be fully refunded. After **October 18, 2019**, cancellation refund requests will be considered on a case-by-case basis. Substitution of registrants is allowed.

### PLEASE NOTE

Registration for this conference **will be closed at noon on October 18, 2019**. Registrations after the closing date will be added as cancellations are received. If you wish to be placed on a waiting list for this conference, please contact H. Jay Wagner at 217-524-4094 or at [Hwagner@atg.state.il.us](mailto:Hwagner@atg.state.il.us).